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CORIS DEVELOPMENT STATUS REPORT *

Prepared by

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INTRODUCTION

The experience accumulated so far by technology transfer registries clearly indicates the importance of an effective organization of the information flows related to registry activities. It was found that improving the system of collecting, storing, processing and disseminating information may substantially contribute to the overall registry performance as well as to the increase of its benefits resulting from the exchange of information within UNIDO's Technological Information Exchange System (TIES) and other international systems.

Consequently, this area has been given increased attention within the co-operative framework of TIES. Following the recommendations of the Seventh Meeting of Heads of Technology Transfer Registries held in New Delhi in December 1982, a project was executed for the development of Compatible Computerized Registry Information Systems ^{1/} the principal objective of which was to harmonize existing computerized information systems through the development of a common information processing model. This project has been successfully completed and a model computerized registry information system (CORIS) prepared with the assistance of the Foreign Trade Data Centre of Poland.

CORIS has been developed to be useful for three main registry functions, namely:

- evaluation of technology transfer agreements;
- generation of information on approved/registered technology transfer agreements;
- monitoring of implementation of technology transfer agreements.

^{1/} UC/INT/83/144 - Development of Compatible Computerized Registry Information Systems.

At present the software prepared is applicable on a M24 Personal computer manufactured by Olivetti, Italy using a MS-DOS operating system.

CORIS has also been developed to make participation in TIES possible at the information exchange levels TIES I, TIES IIM, TIES IIA and TIES IIB:

The following are some of the operational characteristics of CORIS:

(a) Introduction of data

The input format of data is determined by the contract card and has been divided into nine different screens - each screen can be completed with relevant data or left blank before continuing to the next screen. It is possible to correct, delete, add, etc. to the information on the screen.

(b) Contract card as an output

The contract card identified by its registration number, can be printed at any time.

(c) Internal monitoring of office performance

Since basic data is entered during registration of the contract prior to its evaluation, it is possible to print those contracts which are under evaluation at any time. Furthermore, assuming that the evaluation department is divided into sectoral groupings, it is possible to summarize the effect of intervention of that sector for any period of years.

(d) Evaluation output formats

The evaluation officer, while reviewing an application for approval of technology transfer agreements, has the possibility of requesting information on approved, rejected and registered contracts in order to quickly find answers to such questions as:

What other contracts does that supplier have?

Are there more contracts of that nature (by product; by industry)?

Has the supplier previously presented a contract which has been rejected?

(e) Monitoring of the implementation of technology transfer agreements

Although monitoring is not always performed by the technology transfer registries, it has been incorporated in CORIS as it is expected that this function will receive increased attention at the registry level. Since monitoring can be divided into a macro and a micro levels (macro meaning aggregate statistical data and micro individual contracts) and since not all contracts can be monitored at the micro level, a mechanism has been introduced, which will enable the official in charge of monitoring to select those contracts which did not fulfill its expected implementation targets from the point of view of effective technology transfer.

CORIS has presented and demonstrated at the Ninth Meeting of Heads of Technology Transfer Registries held in Beijing, China, in October 1984. The participants of the meeting concluded that:

- (a) CORIS be further developed to become fully operational on IBM and ;

- (b) A detailed users' manual be prepared not only dealing with the technical details of CORIS, but also with the managerial and practical aspects of introducing CORIS at the registry level;
- (c) UNIDO, upon request, would prepare specific training courses on various aspects of data base management;
- (d) UNIDO would provide assistance on request to adapt and introduce CORIS at the national level.

IBM COMPATIBILITY

In order to reach the objective of IBM compatibility, the CORIS system was prepared on the M24 Olivetti Personal Computer. which is operationally compatible with IBM equipment.

The MS-DOS version available on the M24 corresponds to the Release 2.1 of Microsoft MS-DOS. The MS-DOS operating system has become a standard within the 16-bit personal computer field due to the large distribution and the number of packages available.

All application programs are written in GW-BASIC, which is the most common and the most extensive implementation of BASIC available for personal computers.

In addition, none of special program packages are used by CORIS programs to achieve lower cost of CORIS implementation and meet the objective to be easy adaptable on different types of personal computers.

USE OF CORIS IN DIFFERENT HARDWARE/SOFTWARE ENVIRONMENTS

In order to make use of the CORIS system on personal computers other than the M24 Personal Computer, the general review of the situation should be made and that these are operationally compatible with IBM personal computers. They must use 8088/8086 microprocessors, run top IBM PC labeled software, may use IBM peripheral cards, read/write IBM disks and have the same user interface for display, keyboard and sound. At

present there is a large family of personal computers on the market announced as being IBM PC operationally compatible products. For example, Bytec Hyperion, Columbia Data's MPC, Compac, Eagle PC, ITT XTRA, MAD-1, Sanyo MBC-550, Seequa Chameleon, Sperry PC /Mitsubishi/ and so on, but it should be noted that even the two IBM models of Personal Computer PC and PC-XT are not completely inter-compatible. Furthermore, the basic modules of such personal computers are generally different. For example, the video controller is an integral part of the basic module of the M24 Personal Computer while the functionally equivalent "graphic/coloured" controller is offered as an option to the IBM PC. So the reference to the compatibility of the Olivetti Personal Computer M24 with IBM should be considered as referring to the IBM PC-XT equipped with a graphic controller.

The software available for such personal computers is the next crucial element which should be taken into consideration when choosing the hardware. The operating system must be compatible with MS-DOS, and the GW-BASIC should be the version of BASIC available for such computers. When BASIC other than GW-BASIC is operated on these computers, some adjustments may be necessary for CORIS programmes before running them. The range of changes necessary for programme conversions depends on differences between GW-BASIC and available versions of BASIC.

The above mentioned remarks indicate that the choice of hardware should be made very carefully.

The CORIS system is designed to be fully operational when the hardware contains mass storage devices, namely hard disk. The requirements to operate on the hard disk are resulted from the need to have access to the data base of contracts registered not only in the present year but also in the past. It especially matters when the numbers of contracts registered yearly is exceptionally high. It means that using only diskette drives the data file would be written on for example 30 diskettes and it would be increased year by year. Since CORIS programs and additional working files would be also stored on the diskettes, to process any program the multiple changes of diskettes would be necessary (put in and then take out above 30 diskettes to search the

file from the beginning). Summing up, it means that the overall concept of CORIS would be broken - it would be impossible to process the system not to be familiar with data processing, without knowledge of program names and file names.

SPANISH VERSION OF CORIS

In consultation with experts from INTI (Argentina) and the Foreign Trade Data centre (Poland), CORIS has to become operational in Spanish. Lingular adjustments have been incorporated on the basis of advice received from UNIDO's translation services and INTI. A Spanish model contract card has been prepared and is attached.

CORIS USERS MANUAL

A draft users manual has been prepared by the Foreign Trade Data Centre of Poland. This draft manual includes chapters on:

- Preparation for the effective implementation of CORIS within the Registry
- BASIC description of the CORIS system
- CONTRACT CARD as principal input for the CORIS system
- Operators instructions for CORIS database
- Use of CORIS for major registry functions
- Linkage of CORIS with other databases

The instructions for completing the contract card are of course supplementary to those related to the TIES Coding Form instructions as the TIES Coding Form information is copied from the Contract Card.

A final version of the users manual is expected to be completed during the first half of 1986 after review on the basis of experiences with the implementation of CORIS.

CORIS adaptation and introduction at the national level.

India

Upon request of the government of India a mission of two UNIDO consultants analyzed the possibility of applying CORIS software on information processing abstracted from technology transfer agreements, within the Ministry of Industry and Company Affairs. After having analyzed the present system on approval of foreign collaboration it has been concluded that CORIS could be applied in India without major modifications of the software concerned and the existing information flows.

On this assumption detailed action plan has been prepared for the duration of seven months (Annex II). As a principal prerequisite for the successful implementation of CORIS the nomination of an officer in charge and the acquisition of a IBM compatible PC is required. Particular attention has been given to the possible application of CORIS for monitoring implementation of foreign collaboration agreements.

The principal obstacle in implementing CORIS in India appears to be the lack of funds for acquiring a PC for this purpose.

Argentina

The mission to Argentina in connection with the preparation of CORIS in Spanish has been used to adapt CORIS for the specific requirements for CORIS.

The information system on technology transfer is processed on the VAX 11/780 computer installed outside the register office via one terminal (VT 100) installed in-site. Data base of that system contains all contracts since September, 1977. Despite that fact the possibility of access to the data base is limited because of the multi-user access to the terminal (different departments in the same time, not only the register), short session of connection with the mainframe, frequency of troubles with teletransmission.

Having analyzed the present system and CORIS, it was agreed that the CORIS system could be a substantial help to improve the capability of the national technology transfer register on registering technology transfer contracts, on evaluation such agreements, on generating statistics on approved contracts, on monitoring the implementation of the agreements, to observe effects of the technology transfer contracts on national economy as well as to increase the benefits resulting from the exchange of information on transfer of technology through TIES and other international systems.

It was agreed that to implement the Argentinian computerized registry information system based on the concept and software of CORIS, the following activities are necessary:

- 1) translation of the CORIS software into Spanish.
- 2) analysis of the present forms to adapt them for the CORIS requirements.
- 3) analysis of the CORIS software to adjust it to the needs of the register.
- 4) conversion of the existing data file into files with CORIS structure.
- 5) changes in legal solutions to introduce a duty of a presentation of annual reports on the implementation of technology transfer agreements to the registry.
- 6) making efforts by the register (INTI) to be in possession of a personal computer equipped with color display, printer and hard disk with capacity of at least 20 M Bytes to reach CORIS being fully operational.
- 7) preparation of a detailed user manual.
- 8) further development of the system to meet all needs of the registry (after implementation of CORIS).

FINANCIAL SUPPORT FOR CORIS DEVELOPMENT

The software development of CORIS has reached a stage that with little effort CORIS can be adapted for national purposes. The principal constraint however appears to be the financing of either the hardware (e.g. Peru, Argentina) or training of potential users on CORIS. UNIDO is at present in negotiation with a donor country to organize a CORIS training workshop based on the following scenario.

Activities

1. Preparation of national information profile TO plus 3 months
on technology transfer information indicating
information flows in the process of evaluation
monitoring and other functions of the registries,
formats if documents used for collecting,
processing, abstracting data and organization
of information handling within the registry
and nomination of national
information experts responsible
for design of computerized registry
information systems.
2. Training workshop for twelve national TO plus 8 months
information experts for 2 weeks on:
design, installation and implementation of
computerized registry information
system and definition of national requirements
on adaptation of CORIS with respect to:

input/output formats
hardware information
flows inside registry

At the workshop it is expected that each participant becomes familiar with the operating characteristics of CORIS and will become fully acquainted with the potential of personal computers in information processing.

1. RECEPTOR	4.1. CODIGO DE PAIS	
2. RAZON SOCIAL		
3. DIRECCION DE LA EMPRESA		
4. DESCRIPCION DE LA ACTIVIDAD ECONOMICA PRINCIPAL		
5. 1.5. CODIGO industrial nacional industrial CIU	1.6. CODIGO de empresas del C.E.T. de las N.U.	
7. 1.7. CODIGO nacional de empresa	1.8. CODIGO de empresa	
9. 1.9. TIPO DE EMPRESA	1.10. ESTADO	1.11. PARTICIPACION
11. 1.11. PARTICIPACION	1.12. PARTICIPACION	1.13. PARTICIPACION
13. 1.13. NUMERO DE empleados	1.14. TOTAL DE ventas	1.15. TOTAL DE ventas
15. 1.15. EN el año de la solicitud	1.16. EN el año de la solicitud	1.17. EN el año de la solicitud
17. 1.17. RAZON SOCIAL	1.18. CODIGO DE PAIS	
19. 1.19. DIRECCION DE LA EMPRESA		
21. 1.21. CODIGO DE EMPRESA DEL C.E.T. DE LAS N.U.		

3. CONTRATO	3.4. TIPO	☐ nuevo	☐ renovación	☐ prórroga	☐ anexo/enmienda	☐ de otro tipo
3.2. ESPECIE DE CONTRATO	☐ licencia asistencia técnica	☐ gestión	☐ soporte lógico	☐ empresa conjunta	☐ llave en mano	☐ de otra especie
3.3. OBJETO						
3.4. PROCESO						

3.5. Código industrial nacional	3.7. Código nacional de producto 1	3.8. Código de producto 2	Código de producto 3
3.9. VALIDEZ año mes	3.10. Tipo de colaboración	"Leasing"	"Know-how"
Fecha inicial	☐ Concesión	☐ Ingeniería básica	☐ Gestión de inversión
año mes	Llave en mano	Construcción	Supervisión
Fecha final	☐ Producción	Reparación y mantenimiento	Capacitación
año mes	Fecha de aprobación y supervisión	del equipo	
3.4. Condiciones			
3.4.4. Regalía	3.4.2. Global ☐ Suma ☐ Pago como suma global ☐ Tipo de cambio ☐ Observaciones		
3.4.4.1. Tasa porcentual A porcentual B	Descripción de una base de regalía distinta de las ventas netas Observaciones		
3.4.4.2. Tasa porcentual A porcentual B	Tipo de cambio ☐ Observaciones		
3.4.4.3. Rembolsables	Tipo de cambio ☐ Observaciones		
3.4.4.4. Honorarios	Tipo de cambio ☐ Observaciones		
3.4.4.5. Director de proyecto	Tipo de cambio ☐ Observaciones		
3.4.4.6. Profesional superior	Tipo de cambio ☐ Observaciones		
3.4.4.7. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.8. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.9. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.10. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.11. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.12. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.13. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.14. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.15. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.16. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.17. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.18. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.19. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.20. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.21. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.22. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.23. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.24. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.25. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.26. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.27. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.28. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.29. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.30. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.31. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.32. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.33. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.34. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.35. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.36. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.37. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.38. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.39. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.40. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.41. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.42. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.43. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.44. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.45. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.46. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.47. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.48. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.49. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.50. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.51. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.52. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.53. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.54. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.55. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.56. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.57. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.58. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.59. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.60. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.61. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.62. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.63. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.64. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.65. Profesional	Tipo de cambio ☐ Observaciones		
3.4.4.66. Profesional	Tipo de cambio ☐ Observaciones		

4. DATOS DEL PROYECTO	Código		Año/1		Año/2		Año/3		Año/4		Año/5	
Todos los datos financieros en de moneda	ESTIMADO	EFFECTIVO	ESTIMADO	EFFECTIVO	ESTIMADO	EFFECTIVO	ESTIMADO	EFFECTIVO	ESTIMADO	EFFECTIVO	ESTIMADO	EFFECTIVO
4.1. VOLUMEN DE PRODUCCION [Unidad]												
4.2. VENTAS NETAS												
4.3. EXPORTACIONES												
4.4. IMPORTACIONES												
4.5. GASTOS DE INVESTIGACION												
4.6. DESARROLLO												
4.6. EMPLEO												
4.7. UTILIDADES SIN DEDUCIR IMPUESTOS												
4.8. NUMERO DE PERSONAS CAPACITADAS												
4.8.1. EN EL PAIS												
4.8.2. EN EL EXTRANJERO												
4.9. NUMERO DE PERSONAL EXTRANJERO												
4.12. PAGOS ANUALES PREVISTOS												

5. EVALUACION DEL CONTRATO	FORMA PRESENTADA APROBADA		FORMA PRESENTADA APROBADA		FORMA PRESENTADA APROBADA	
TOTAL DE PAGOS PREVISTOS	5.1. POR TECNOLOGIA*		5.2. CALIDAD		5.3. RENDIMIENTO	
5.2. TOTAL PREVISTO DE IMPORTACIONES*	5.2.1. VOLUMEN		5.2.2. CALIDAD		5.2.3. RENDIMIENTO	
5.3. TOTAL PREVISTO DE EXPORTACIONES*	5.3.1. ECONOMIA		5.3.2. TIEMPO		5.3.3. CLAUSULAS	
5.4. SALDO NETO DE DIVISAS*	5.4.1. RESTRICTIVAS		5.4.2. DE NO EXCLUSIVIDAD		5.4.3. RESTRIICCIONES DE	
5.5. PROPORCION DEL LICENCIANTE	5.5.1. EXPORTACION		5.5.2. SUBVENCION		5.5.3. DERECHO DE	
5.6. CAPANTIAS DE FUNCIONAMIENTO	5.6.1. RESTRIICCIONES		5.6.2. CLAUSULAS DE		5.6.3. PROVISIONALMENTO	
5.6.4. VOLUMEN	5.6.4.1. ECONOMIA		5.6.4.2. TIEMPO		5.6.4.3. CLAUSULAS	
5.6.5. CALIDAD	5.6.5.1. RESTRICTIVAS		5.6.5.2. DE NO EXCLUSIVIDAD		5.6.5.3. RESTRIICCIONES DE	
5.6.6. RENDIMIENTO	5.6.6.1. EXPORTACION		5.6.6.2. SUBVENCION		5.6.6.3. DERECHO DE	
5.6.7. ECONOMIA	5.6.7.1. RESTRICTIVAS		5.6.7.2. CLAUSULAS DE		5.6.7.3. PROVISIONALMENTO	
5.7. CAPACITACION	5.7.1. CALENDARIO DE CAPACITACION		5.7.2. DURANTE EL PERIODO APROBADO		5.7.3. OBSERVACIONES	
* Durante el período aprobado						

6. DECISION DEFINITIVA	APROBADO		RECHAZADO		OBSERVACIONES	
APROBADO		RECHAZADO		CONDICIONALMENTE		
7. VIGILANCIA						
OBSERVACIONES						
8. FUNCIONARIO EVALUADOR						
NOMBRE						
CARGO/TITULO						
FECHA						
DEPTO.						
FIRMA						

ANNEX II

Detailed Action Plan for CORIS Implementation in India

NO	ACTIVITIES DESCRIPTION	TIME	INPUTS	OUTPUTS	REMARKS
3.2.1	Decision on implementation of CORIS system	0	Management of the Department of Industrial Development	Start-up of the Project	
3.2.2	Nomination of officer in charge of the implementation of CORIS system within SIA Foreign Collaborations	0	Management of the Secretariat for Industrial Approvals	Officer in charge of the implementation of CORIS system	
3.2.3	Preparatory works on establishment of the ID Unit within SIA. - major functions of ID Unit - position of the ID Unit within SIA - physical and human resources which should be allocated to the ID Unit - internal organization of the ID Unit - terms of reference for the ID Unit	0 plus 2 months	CORIS officer in charge under supervision and with approval of the Management of the Secretariat for Industrial Approvals	Detailed functional concept of ID Unit organization and activities	
3.2.4	The modification and adjustment of the Summary Card /Contract Card/ and other relevant documents to the requirements and needs of the SIA and selection of the microcomputer. - Summary Card /Contract Card/ - Application Form FC /Gen/ - Application Form FC /NRI/ - Annual Progress Returns FC - Selection of microcomputer	0 plus 3 months	CORIS officer in charge with assistance of UNIDO consultant /4 weeks/	Modified and adjusted to the SIA needs and requirements. - Summary Card - Application Form FC /Gen/ - Application Form FC /NRI/ - Proforma Report Selected type and configuration of microcomputer	
3.2.5	Guidelines preparation for CORIS software modification and adjustments to the SIA needs based on the outputs of point 3.2.4.		UNIDO consultant	Prepared detailed guidelines for CORIS software modification and adjustment to the SIA needs	
3.2.6	Defining standard rules for information on TT agreements flows within the SIA in CORIS system environment	0 plus 4 months	CORIS officer in charge under supervision and with approval of the SIA Management	Approved standard rules for information /documents/ on TT agreements flows within the SIA	
3.2.7	Acquisition of the selected microcomputers	0 plus 4 months	CORIS officer in charge	Microcomputer ordered	
3.2.8	Establishment of ID Unit	0 plus 5 months	CORIS officer in charge	Start-up of the ID Unit activities	
3.2.9	Preparation of Summary Cards /filled in/ based on approved conditionally approved and rejected TT agreements for the period of last five years /1981-1985/	to be established on possible conditions	ID Unit staff members with support of consulting company if necessary	Set of filled in Summary Cards for the period of 1981-1985	
3.2.10	The modification and adjustments of CORIS software to the SIA needs	0 plus 6 months	Foreign Trade Data Centre, Warsaw, Poland, on agreed with UNIDO bases	Modified and adjusted to SIA needs CORIS software	
3.2.11	Delivery of CORIS software to the SIA	0 plus 6,5 months	UNIDO Secretariat	CORIS software ready for use delivered to the SIA	

3.2.12.	Installation of the microcomputer	0 plus 6,5 months	CORIS officer in charge	Microcomputer installed
3.2.13.	Implementation of CORIS system including training of operators and users of SIA CORIS SYSTEM	0 PLUS 7 months	ID Unit staff with assistance of UNILO consultant /4 weeks/	CORIS system implemented and operators and users of SIA CORIS system trained